JC18 Regrd PCT/FTO 2 4 APR 2001 US DEPARTMENT OF COMMERCE ATTORNE. J DOCKET NUMBER **FORM PTO-1390** PATENT AND TRADEMARK OFFICE REV. 5-93 P01,0178 TRANSMITTAL LETTER TO THE UNITED STATES U.S.APPLICATION NO. (if known, see 37 CFR 1.5) 09/830239DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 27 October 1998 21 October 1999 PCT/SE99/01893 TITLE OF INVENTION A HOUSING, WITH A TUBULAR CONNECTOR, FOR A FOR A HEART STIMULATOR APPLICANT(S) FOR DO/EO/US PAUL BRAND and ROLF HILL pplicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. 0 This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 3. ⋈ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority ű A copy of International Application as filed (35 U.S.C. 371(c)(2)) - drawings attached. 5. ⊠ is transmitted herewith (required only if not transmitted by the International Bureau). a. 🛛 U has been transmitted by the International Bureau. b. 🗆 is not required, as the application was filed in the United States Receiving Office (RO/US) A translation of the International Application into English (35 U.S.C. 371(c)(2) -6.-0 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) 7.50 are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. b. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. c. O O have not been made and will not be made. TL: A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9.--An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. □ 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). 11.⊠ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.

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16. ⊠

(Separate envelope)

A FIRST preliminary amendment.

Other items or information:

a. 

Submission of drawings

A SECOND or SUBSEQUENT preliminary amendment.

A substitute specification, including red-lined version

A change of power of attorney and/or address letter.

b. Request for Approval of Drawing Changes c. 

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U.S.APPLICATION NO. (if known, see 3. 1.5) 09/830239			INTERNATIONAL APPLICATION NO. PCT/SE99/01893		CORNEY'S DOCKET NUMBER	
17.   The following fee	s are submitted:				CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO\$860.00						
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482)\$690.00						
No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)						
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO \$1000.00						
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)					-	
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 1000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than □ 20 □ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					\$	
Claims	Number Filed		Number Extra	Rate		
Total Claims	23 -	20 =	3	X \$18.00	\$	
Independent Claims	2	- 3 =.		X \$ 80.00	\$	
Multiple Dependent Claims \$270.00 +					\$270.00	
TOTAL OF ABOVE CALCULATIONS =					\$1270.00	
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)					\$	
SUBTOTAL =					\$1270.00	
Processing fee of \$130.00 for furnishing the English translation later than   20   30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	
TOTAL NATIONAL FEE =					1270.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +						
TOTAL FEES ENCLOSED =					\$1270.00	
					Amount to be refunded	\$
					charged	\$
a.   ■ A check in the amount of \$1270.00 to cover the above fees is enclosed.						
b.   Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:  SIGNATURE						
Schiff Hardin & Waite Patent Department Steven H. Noll						
6600 Sears Tower NAME						
Chicago, Illinois 60606 Customer No. 26574	:	28,982	(Registration N	lo.)		

## CERTIFICATE OF MAILING BY EXPRESS MAIL

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**Date of Deposit:** 

April 24, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office To Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT** 

Hon. Assistant Commissioner for Patents Washington DC 20231

Case Number:

P01,0178

International Application No.

PCT/SE99/01893

International Filing Date: OCTOBER 21, 1999

Title: A HOUSING, WITH A TUBULAR CONNECTOR, FOR A HEART STIMULATOR

Applicant: PAUL BRAND ET AL

Enclosed are the following documents:

International Application as filed, drawings, attached; PTO 1390 in duplicate; Submission of Drawings IDS Government Filing Fee

Postcard

Signature of Person mailing documents and fees

## **BOX PCT**

## IN THE UNITED STATES DESIGNATED OFFICE OF THE UNITED STATES PATENT AND TRADEMARK OFFICE UNDER THE PATENT COOPERATION TREATY-CHAPTER II

SUBMISSION OF FORMAL DRAWINGS 5 Brand et al. APPLICANT: P01,0178 ATTORNEY DOCKET NO. PCT/SE99/01893 INTERNATIONAL APPLICATION NO: October 21, 1999 INTERNATIONAL FILING DATE: "A HOUSING, WITH A TUBULAR CONNECTOR, FOR INVENTION: 10 A HEART STIMULATOR" Assistant Commissioner for Patents, Washington, D.C. 20231 SIR: Applicants herewith submit two sheets (Figs. 1-5) of formal drawings 15 for the above-referenced PCT application. Submitted by, SCHIFF, HARDIN & WAITE **CUSTOMER NO. 26574** 20

Patent Department 6600 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606 Telephone: 312/258-5790 Attorneys for Applicants.

25